



# Uniqueness and value sharing of meromorphic functions on annuli

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## Abstract

In this paper, we study meromorphic functions that share only one value on annuli and prove the following results.

1. Let  $f(z)$  and  $g(z)$  be two non constant meromorphic functions in  $\mathbb{A}(R_0)$ , where  $1 < R_0 \leq +\infty$ ,  $n \geq 6$ . If  $f^n f' g^n g' = 1$ , then  $f \equiv dg$  or  $g = c_1 e^{cz}$  and  $f = c_2 e^{-cz}$ , where  $c, c_1$  and  $c_2$  are constants and  $(c_1 c_2)^{n+1} c^2 = -1$ .
2. Let  $f(z)$  and  $g(z)$  be two non constant entire functions in  $\mathbb{A}(R_0)$ , where  $1 < R_0 \leq +\infty$ ,  $n \geq 1$ . If  $f^n f' g^n g' = 1$ , then  $f \equiv dg$  or  $g = c_1 e^{cz}$  and  $f = c_2 e^{-cz}$ , where  $c, c_1$  and  $c_2$  are constants and  $(c_1 c_2)^{n+1} c^2 = -1$ .

Using the results (1) and (2) we prove, let  $f(z)$  and  $g(z)$  two non constant meromorphic functions on annuli and For  $n \geq 11$ , if  $f^n f'$  and  $g^n g'$  share the same nonzero and finite value  $a$  with the same multiplicities on annuli, then  $f \equiv dg$  or  $g = c_1 e^{cz}$  and  $f = c_2 e^{-cz}$ , where  $d$  is an  $(n + 1)^{th}$  root of unity,  $c, c_1$  and  $c_2$  being constants.

## Keywords

Value Distribution Theory; meromorphic functions; annuli.

## AMS Subject Classification

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## 1. Introduction and Mani Results

In this paper, a meromorphic function always mean a function which is meromorphic in  $\mathbb{A}(R_0)$ , where  $1 < R_0 \leq +\infty$ . Let  $f(z)$  and  $g(z)$  be non constant meromorphic in  $\mathbb{A}(R_0)$ , where  $1 < R_0 \leq +\infty$ ,  $a \in \mathbb{C}$ . We say that  $f$  and  $g$  share the value  $a$  CM if  $f(z) - a$  and  $g(z) - a$  have the same zeros with the same multiplicities. We shall use standard notations of value distribution theory in annuli,  $T_0(R, f)$ ,  $m_0(R, f)$ ,  $N_0(R, f)$ ,  $\bar{N}_0(R, f)$ ,... (see [6],[7]).

In this paper, we shall show that certain types of differential polynomials on annuli when they share only one value.

**Theorem 1.1.** Let  $f(z)$  and  $g(z)$  be two non constant meromorphic functions in  $\mathbb{A}(R_0)$ , where  $1 < R_0 \leq +\infty$ ,  $n \geq 11$  an integer and  $a \in \mathbb{C} - \{0\}$ . If  $f^n f'$  and  $g^n g'$  share the value  $a$  CM, then either  $f \equiv dg$  or  $g = c_1 e^{cz}$  and  $f = c_2 e^{-cz}$ , where  $c, c_1$  and  $c_2$  are constants and satisfy  $(c_1 c_2)^{n+1} c^2 = a^{-2}$ .

**Remark 1.2.** The following example shows that  $a \neq 0$  is necessary. For  $f = e^{e^z}$  and  $g = e^z$ , we see that  $f^n f'$  and  $g^n g'$  share 0 CM for any integer  $n$ , but  $f$  and  $g$  do not satisfy the conclusion of Theorem 1.

In order to prove the above result, we shall first prove the following two theorems.

**Theorem 1.3.** Let  $f(z)$  and  $g(z)$  be two non constant meromorphic functions in  $\mathbb{A}(R_0)$ , where  $1 < R_0 \leq +\infty$ ,  $n \geq 6$ . If  $f^n f' g^n g' = 1$ , then  $f \equiv dg$  or  $g = c_1 e^{cz}$  and  $f = c_2 e^{-cz}$ , where  $c, c_1$  and  $c_2$  are constants and  $(c_1 c_2)^{n+1} c^2 = -1$ .

**Theorem 1.4.** Let  $f(z)$  and  $g(z)$  be two non constant entire functions in  $\mathbb{A}(R_0)$ , where  $1 < R_0 \leq +\infty$ ,  $n \geq 1$ . If  $f^n f' g^n g' = 1$ , then  $f \equiv dg$  or  $g = c_1 e^{cz}$  and  $f = c_2 e^{-cz}$ , where  $c, c_1$  and  $c_2$  are constants and  $(c_1 c_2)^{n+1} c^2 = -1$ .

## 2. Some Basic Theorems and Lemmas

**Theorem 2.1.** [7] (*Lemma on the Logarithmic Derivative*). Let  $f$  be a nonconstant meromorphic function in  $\mathbb{A}(R_0)$ , where  $1 < R_0 \leq +\infty$ , and  $\alpha \geq 0$ . Then

1. In the case,  $R_0 = +\infty$ ,

$$m_0\left(R, \frac{f'}{f}\right) = O(\log(RT_0(R, f)))$$

for  $R \in (1, +\infty)$  except for the set  $\Delta_R$  such that  $\int_{\Delta_R} R^{\alpha-1} dR < +\infty$ ;

2. In the case,  $R_0 < +\infty$ ,

$$m_0\left(R, \frac{f'}{f}\right) = O\left(\log\left(\frac{T_0(R, f)}{R_0 - R}\right)\right)$$

for  $R \in (1, R_0)$  except for the set  $\Delta'_R$  such that  $\int_{\Delta'_R} \frac{dR}{(R_0 - R^{\alpha-1})} < +\infty$ .

**Lemma 2.2.** Let  $f$  and  $g$  be two non constant entire functions in  $\mathbb{A}(R_0)$ , where  $1 < R_0 \leq +\infty$ . Then for any  $1 < R < R_0$ , we have

$$N_0\left(R, \frac{f}{g}\right) - N_0\left(R, \frac{g}{f}\right) = N_0(R, f) + N_0\left(R, \frac{1}{g}\right) - N_0(R, g) - N_0\left(R, \frac{1}{f}\right).$$

In studying on uniqueness theorems of meromorphic functions, the following lemma plays an important role.

**Lemma 2.3.** Suppose that  $f_1(z), f_2(z), \dots, f_n(z)$  are linearly independent meromorphic functions in  $\mathbb{A}(R_0)$ , where  $1 < R_0 \leq +\infty$  satisfying the following identity

$$\sum_{j=1}^n f_j \equiv 1 \tag{2.1}$$

Then for  $1 \leq j \leq n$ , we have

$$T_0(R, f) \leq \sum_{k=1}^n N_0\left(R, \frac{1}{f_k}\right) + N_0(R, f_j) + N_0(R, D) - \sum_{k=1}^n N_0(R, f_k) - N_0\left(R, \frac{1}{D}\right) + S(R, f). \tag{2.2}$$

Where  $D$  is the Wronskian determinant  $W(f_1, f_2, \dots, f_n)$ ,  $S(r, f) = o(T_0(R, f))$  and  $T_0(R, f) = \max_{1 \leq k \leq n} \{T_0(R, f_k)\}$ , for every  $R$  such that  $1 < R < R_0$ ,  $R \notin E$  and  $E$  is the set of finite linear measure.

First of all, we prove a lemma which is a essentially generalization of Borel's theorem.

**Lemma 2.4.** Let  $g_j(z)$  ( $j=1, 2, \dots, n$ ) be an entire functions and  $a_j(z)$  ( $j=0, 1, 2, \dots, n$ ) be a meromorphic functions in  $\mathbb{A}(R_0)$ , where  $1 < R_0 \leq +\infty$ , satisfying  $T_0(R, a_j) = o\left(\sum_{k=1}^n T_0(R, e^{g^k})\right)$ ,

for every  $R$  such that  $1 < R < R_0$ ,  $R \notin E$ , ( $j = 0, 1, 2, \dots, n$ ). If

$$\sum_{j=1}^n a_j(z) e^{g_j(z)} \equiv a_0(z) \tag{2.3}$$

then there exists constant  $c_j$  ( $j=1, 2, \dots, n$ ) at least one of them is not zero such that

$$\sum_{j=1}^n c_j a_j(z) e^{g_j(z)} \equiv 0. \tag{2.4}$$

**Lemma 2.5.** Let  $f(z)$  and  $g(z)$  be two non constant entire functions in  $\mathbb{A}(R_0)$ , where  $1 < R_0 \leq +\infty$ . If  $f$  and  $g$  share 1 CM, one of the following three cases holds:

$$\begin{aligned} (i) \quad T_0(R, f) &\leq \bar{N}_0(R, f) + \bar{N}_0^{(2)}(R, f) + \bar{N}_0(R, g) \\ &\quad + \bar{N}_0^{(2)}(R, g) + \bar{N}_0\left(R, \frac{1}{f}\right) \\ &\quad + \bar{N}_0^{(2)}\left(R, \frac{1}{f}\right) + \bar{N}_0\left(R, \frac{1}{g}\right) + \bar{N}_0^{(2)}\left(R, \frac{1}{g}\right) \\ &\quad + S(R, f) + S(R, g) \end{aligned}$$

the same inequality holding for  $T_0(R, g)$ ;

$$(ii) \quad f \equiv dg;$$

$$(iii) \quad fg \equiv 1,$$

where

$$\bar{N}_0^{(2)}(R, 1/f) = \bar{N}_0\left(R, \frac{1}{f}\right) - N_0^1\left(R, \frac{1}{f}\right)$$

and  $N_0^1\left(R, \frac{1}{f}\right)$  is the counting function of the zeros of  $f$  in  $\{z : |z| \leq R\}$ .

## 3. Proof of Lemmas

**1. Proof of Lemma 2.2:** By Jensen's formula in annuli, we have

$$\begin{aligned} N_0\left(R, \frac{1}{f}\right) - N_0(R, f) &= \int_0^{2\pi} \log \frac{1}{|f(Re^{i\theta})|} \frac{d\theta}{2\pi} \\ &\quad + \int_0^{2\pi} \log |f(Re^{i\theta})| \frac{d\theta}{2\pi} - \int_0^{2\pi} \log |f(e^{i\theta})| \frac{d\theta}{\pi} \end{aligned}$$



for every  $R$  such that  $1 < R < R_0$ . Consider,

$$\begin{aligned} & N_0\left(R, \frac{f}{g}\right) - N_0\left(R, \frac{g}{f}\right) \\ &= \int_0^{2\pi} \log \left| \frac{f(Re^{i\theta})}{g(Re^{i\theta})} \right| \frac{d\theta}{2\pi} + \int_0^{2\pi} \log \left| \frac{g(Re^{i\theta})}{f(Re^{i\theta})} \right| \frac{d\theta}{2\pi} \\ &\quad + \int_0^{2\pi} \log \left| \frac{g(e^{i\theta})}{f(e^{i\theta})} \right| \frac{d\theta}{\pi} \\ &= \left\{ \int_0^{2\pi} \log \left| \frac{1}{g(Re^{i\theta})} \right| \frac{d\theta}{2\pi} + \int_0^{2\pi} \log |g(Re^{i\theta})| \frac{d\theta}{2\pi} \right. \\ &\quad \left. - \int_0^{2\pi} \log |g(e^{i\theta})| \frac{d\theta}{\pi} \right\} \\ &\quad - \left\{ \int_0^{2\pi} \log \left| \frac{1}{f(Re^{i\theta})} \right| \frac{d\theta}{2\pi} + \int_0^{2\pi} \log |f(Re^{i\theta})| \frac{d\theta}{2\pi} \right. \\ &\quad \left. - \int_0^{2\pi} \log |f(e^{i\theta})| \frac{d\theta}{\pi} \right\} \\ &= N_0(R, f) + N_0\left(R, \frac{1}{g}\right) - N_0(R, g) - N_0\left(R, \frac{1}{f}\right). \end{aligned}$$

This completes the proof of Lemma 2.2.

**2. Proof of Lemma 2.3:** Taking the derivative in both sides of identity (2.1), we get

$$\sum_{j=1}^n f_j^{(k)} = 0 \quad (k = 1, 2, \dots, n-1) \tag{3.1}$$

Since  $f_1(z), f_2(z), \dots, f_n(z)$  are linearly independent, we see that  $D \neq 0$ . (2.1) and (3.1) imply

$$D = D_j \quad (j = 1, 2, \dots, n), \tag{3.2}$$

where  $D_j$  is algebraic cofactor of  $f_j$  in  $D$ . Hence

$$f_1 = \frac{\frac{D_1}{f_2 f_3 \dots f_n}}{\frac{D}{f_1 f_2 \dots f_n}} = \frac{\Delta_1}{\Delta}, \tag{3.3}$$

where

$$\Delta = \begin{vmatrix} 1 & 1 \dots & 1 \\ \frac{f'_1}{f_1} & \frac{f'_2}{f_2} \dots & \frac{f'_n}{f_n} \\ \dots & \dots & \dots \\ \frac{f_1^{(n-1)}}{f_1} & \frac{f_2^{(n-1)}}{f_2} \dots & \frac{f_n^{(n-1)}}{f_n} \end{vmatrix}$$

and  $\Delta$  is the algebraic cofactor of the elements at the first column and the first row in  $\Delta$ . From (3.3), we have

$$\begin{aligned} m_0(R, f_1) &\leq m_0(R, \Delta_1) + m_0\left(R, \frac{1}{\Delta}\right) \\ &\leq m_0(R, \Delta_1) + m_0(R, \Delta) + N_0(R, \Delta) - N_0\left(R, \frac{1}{\Delta}\right) \end{aligned} \tag{3.4}$$

since  $\Delta = \frac{D}{f_1 f_2 \dots f_n}$ , which leads to

$$\begin{aligned} N_0(R, \Delta) - N_0\left(R, \frac{1}{\Delta}\right) &= \sum_{k=1}^n N_0\left(R, \frac{1}{f_k}\right) - \sum_{k=1}^n N_0(R, f_k) \\ &\quad + N_0(R, D) - N_0\left(R, \frac{1}{D}\right) \end{aligned} \tag{3.5}$$

Note that  $m_0\left(R, \frac{f_j^{(k)}}{f_j}\right) = S(R, f_j) = S(R, f)$ , ( $j=1, 2, \dots, n$  and  $k=1, 2, \dots, n-1$ ). We have

$$m_0(R, \Delta_1) + m_0(R, \Delta) = S(R, f) \tag{3.6}$$

From (3.4), (3.5) and (3.6), we get

$$\begin{aligned} T_0(R, f_1) &= m_0(R, f_1) + N_0(R, f_1) \\ &\leq \sum_{k=1}^n N_0\left(R, \frac{1}{f_k}\right) + N_0(R, f_1) + N_0(R, D) \\ &\quad - \sum_{k=1}^n N_0(R, f_k) - N_0\left(R, \frac{1}{D}\right) + S(R, f) \end{aligned} \tag{3.7}$$

By the same method, we can prove other results similar to (3.7) for  $f_j$ , ( $2 \leq j \leq n$ ). Hence (2.2) holds.

**3. Proof of Lemma 2.4:** If  $a_0(z) \equiv 0$ , Lemma 2.4 is obviously true. In the following, we assume that  $a_0(z) \not\equiv 0$ . From (2.3), we have  $\sum_{j=1}^n \frac{a_j(z)}{a_0(z)} e^{g_j(z)} \equiv 1$ . Let  $G_j(z) = \frac{a_j(z)}{a_0(z)} e^{g_j(z)}$  ( $j=1, 2, \dots, n$ ).

Then  $\sum_{j=1}^n G_j \equiv 1$ .

If  $G_1(z), G_2(z), \dots, G_n(z)$  are linearly independent, then from Lemma 2.2 we have

$$T_0(R, G) \leq \sum_{j=1}^n N_0\left(R, \frac{1}{G_j}\right) + N_0(R, D) + S(R, f), \tag{3.8}$$

where  $D$  is Wronskian  $W(G_1, G_2, \dots, G_n)$ , and  $S(r, f) = o(T_0(R, f))$  and  $T_0(R, f) = \max_{1 \leq k \leq n} \{T_0(R, f_k)\}$ , as  $1 < R < R_0, R \notin E$ .  $E$  is the set of finite linear measure.

Note that

$$\begin{aligned} N_0\left(R, \frac{1}{G_j}\right) &\leq N_0\left(R, \frac{1}{a_j}\right) + N_0(R, a_0) \leq T_0(R, a_j) \\ &\quad + T_0(R, a_0) \\ &= o\left(\sum_{k=1}^n T_0(R, e^{g_k})\right), \quad (1 < R < R_0, R \notin E). \end{aligned} \tag{3.9}$$

and

$$\begin{aligned} N_0(R, G_j) &\leq N_0(R, a_j) + N_0\left(R, \frac{1}{a_0}\right) \leq T_0(R, a_j) \\ &\quad + T_0(R, a_0) \\ &= o\left(\sum_{k=1}^n T_0(R, e^{g_k})\right), \quad (1 < R < R_0, R \notin E). \end{aligned}$$



We have

$$\begin{aligned} N_0(R, D) &\leq n \sum_{j=1}^n N_0(R, G_j) \\ &= o\left(\sum_{k=1}^n T_0(R, e^{g_k})\right), \quad (1 < R < R_0, R \notin E). \end{aligned} \tag{3.10}$$

From (3.8), (3.9) and (3.10), we get

$$\begin{aligned} T_0(R, G_j) &< o\left(\sum_{k=1}^n T_0(R, e^{g_k})\right) + S(R, f), \\ &\quad (1 < R < R_0, R \notin E), \quad j = 1, 2, \dots, n. \end{aligned}$$

On the other hand, we have

$$\begin{aligned} T_0(R, G_j) &= T_0(R, e^{g_k}) + o\left(\sum_{k=1}^n T_0(R, e^{g_k})\right) \quad (R \notin E), \\ S(R, f) &= o\left(\sum_{k=1}^n T_0(R, e^{g_k})\right) \quad (R \notin E). \end{aligned}$$

Hence for  $j = 1, 2, \dots, n$  we have

$$T_0(R, e^{g_k}) = o\left(\sum_{k=1}^n T_0(R, e^{g_k})\right) \quad (R \notin E).$$

Therefore

$$\sum_{k=1}^n T_0(R, e^{g_k}) = o\left(\sum_{k=1}^n T_0(R, e^{g_k})\right) \quad (R \notin E).$$

This is a contradiction. Hence  $G_1(z), G_2(z), \dots, G_n(z)$  are linearly dependent. This completes the proof of Lemma 2.4.

**4. Proof of Lemma 2.5:** Set

$$\phi = \frac{f''}{f'} - 2\frac{f'}{f-1} - \frac{g''}{g'} + 2\frac{g'}{g-1} \tag{3.11}$$

Since  $f$  and  $g$  share 1 CM, a simple computation on local expansions shows that  $\phi(z_0) = 0$  if  $z_0$  is a simple zero of  $f - 1$  and  $g - 1$ . Next we consider two cases  $\phi \not\equiv 0$  and  $\phi \equiv 0$ .

If  $\phi \not\equiv 0$ , then

$$\begin{aligned} N_0^1\left(R, \frac{1}{f-1}\right) &= N_0^1\left(R, \frac{1}{g-1}\right) \leq N_0\left(R, \frac{1}{\phi}\right) \\ &\leq T_0(R, \phi) + O(1) \leq N_0(R, \phi) \\ &\quad + S(R, f) + S(R, g) \end{aligned} \tag{3.12}$$

where  $N_0^1(R, 1/f - 1)$  is the counting function of the simple zeros of  $f - 1$  in  $\{z : |z| \leq R\}$ . Since  $f$  and  $g$  share 1 CM, any root of  $f(z) = 1$  can not be a pole of  $\phi(z)$ . In addition, we can easily see from (3.11) that any simple pole of  $f$  and  $g$  is not a pole of  $\phi$ . Therefore, by (3.11), the poles of  $\phi$  only occur at zeros of  $f'$  and  $g'$  and the multiple poles of  $f$  and  $g$ . If  $f'(z_0) = f(z_0) = 0$ , then  $z_0$  is a multiple zero of  $f$ . We

denote by  $N_0(R, 1/f')$  the counting function of those zeros of  $f'$  but not that of  $f(f - 1)$ . From (3.11), (3.12) and the above observation that

$$\begin{aligned} N_0^1\left(R, \frac{1}{f-1}\right) &\leq \bar{N}_0^{(2)}(R, f) + \bar{N}_0^{(2)}(R, g) + N_0\left(R, \frac{1}{f'}\right) \\ &\quad + N_0\left(R, \frac{1}{g'}\right) + N_0^{(2)}\left(R, \frac{1}{f'}\right) \\ &\quad + N_0^{(2)}\left(R, \frac{1}{g'}\right) + S(R, f) + S(R, g) \end{aligned} \tag{3.13}$$

On the other hand, by the second fundamental theorem we have

$$\begin{aligned} T_0(R, f) &\leq \bar{N}_0(R, f) + N_0\left(R, \frac{1}{f}\right) + \bar{N}_0\left(R, \frac{1}{f-1}\right) \\ &\quad - \bar{N}_0\left(R, \frac{1}{f'}\right) + S(R, f) \end{aligned} \tag{3.14}$$

and by the first fundamental theorem on annuli, we have

$$\begin{aligned} N_0\left(R, \frac{1}{g'}\right) - N_0\left(R, \frac{1}{g}\right) &= N_0\left(R, \frac{g}{g'}\right) \leq T_0\left(R, \frac{g}{g'}\right) + O(1) \\ &= \bar{N}_0(R, g) + \bar{N}_0\left(R, \frac{1}{g}\right) + S(R, g). \end{aligned}$$

This implies that

$$N_0\left(R, \frac{1}{g'}\right) = \bar{N}_0(R, g) + \bar{N}_0\left(R, \frac{1}{g}\right) + S(R, g).$$

It is easy to see from the definition of  $N_0^{(0)}\left(R, \frac{1}{g'}\right)$  that

$$\begin{aligned} \bar{N}_0^{(0)}\left(R, \frac{1}{g'}\right) + \bar{N}_0^{(2)}\left(R, \frac{1}{g-1}\right) + \bar{N}_0^{(2)}\left(R, \frac{1}{g}\right) - \bar{N}_0^{(2)}\left(R, \frac{1}{g}\right) \\ \leq N_0\left(R, \frac{1}{g'}\right). \end{aligned}$$

The above two inequalities yield

$$\begin{aligned} \bar{N}_0^{(0)}\left(R, \frac{1}{g'}\right) + \bar{N}_0^{(2)}\left(R, \frac{1}{g-1}\right) &\leq N_0(R, g) \\ &\quad + N_0\left(R, \frac{1}{g}\right) + S(R, g). \end{aligned} \tag{3.15}$$

Since  $f(z)$  and  $g(z)$  share 1 CM, we have

$$\bar{N}_0\left(R, \frac{1}{f-1}\right) \leq \bar{N}_0^1\left(R, \frac{1}{f-1}\right) + \bar{N}_0^{(2)}\left(R, \frac{1}{g-1}\right). \tag{3.16}$$

Combining (3.13) to (3.16), we obtain (i). If  $\phi(z) \equiv 0$ , we deduce from (3.11) that

$$f \equiv \frac{Ag + B}{Cg + D}, \tag{3.17}$$



where  $A, B, C$  and  $D$  are finite complex numbers satisfying  $AD - BC \neq 0$ .

Then, by the first fundamental theorem,

$$T_0(R, f) = T_0(R, g) + S(R, f). \tag{3.18}$$

Next we consider three respective subcases.

**Subcase 1.**  $AC \neq 0$ . Then

$$f - \frac{A}{C} = \frac{B - AD/C}{Cg + D}.$$

By the second fundamental theorem on annuli, we have

$$\begin{aligned} T_0(R, f) &\leq \bar{N}_0(R, f) + \bar{N}_0\left(R, \frac{1}{f - (A/C)}\right) \\ &\quad + \bar{N}_0\left(R, \frac{1}{f}\right) + S(R, f) \\ &= \bar{N}_0(R, f) + \bar{N}_0(R, g) + \bar{N}_0\left(R, \frac{1}{f}\right) + S(R, f). \end{aligned} \tag{3.19}$$

we get (i).

**Subcase 2.**  $A \neq 0, C = 0$  Then  $f \equiv (Ag + B)/D$ . If  $B \neq 0$ , by the second fundamental theorem on annuli, we have

$$\begin{aligned} T_0(R, f) &\leq \bar{N}_0(R, f) + \bar{N}_0\left(R, \frac{1}{f}\right) + \bar{N}_0\left(R, \frac{1}{f - (B/D)}\right) \\ &\quad + S(R, f) \\ &= \bar{N}_0(R, f) + \bar{N}_0\left(R, \frac{1}{f}\right) + \bar{N}_0\left(R, \frac{1}{g}\right) + S(R, f). \end{aligned} \tag{3.20}$$

we get (i). If  $B = 0$ , then  $f \equiv Ag/D$ . If  $A/D = 1$ , then  $f \equiv g$ ; this is (ii). If  $A/D \neq 1$ , then by the assumption that  $f$  and  $g$  share 1 CM, it is easy to see that  $f \neq 1$  and  $g \neq 1$ , which yields  $f \neq 1, A/D$ . By the second fundamental theorem on annuli, we have

$$T_0(R, f) \leq \bar{N}_0(R, f) + S(R, f),$$

and (i) follows.

**Subcase 3.**  $A = 0, C \neq 0$  Then  $f \equiv B/(Cg + D)$ . if  $D \neq 0$ , by the second fundamental theorem on annuli, we have

$$\begin{aligned} T_0(R, f) &\leq \bar{N}_0(R, f) + \bar{N}_0\left(R, \frac{1}{f}\right) + \bar{N}_0\left(R, \frac{1}{f - (B/D)}\right) \\ &\quad + S(R, f) \\ &= \bar{N}_0(R, f) + \bar{N}_0\left(R, \frac{1}{f}\right) + \bar{N}_0\left(R, \frac{1}{g}\right) + S(R, f). \end{aligned} \tag{3.21}$$

we get (i). If  $D = 0$ , then  $f \equiv B/Cg$ . If  $B/C = 1$ , then  $fg \equiv 1$  and we obtain (iii). If  $B/C \neq 1$ , by the assumption that  $f$  and

share 1 CM, we have  $f \neq 1, B/C$ . By the second fundamental theorem on annuli, we get

$$T_0(R, f) \leq \bar{N}_0(R, f) + S(R, f).$$

This implies (i). Thus the proof of Lemma 2.5 is complete.

## 4. Proof of Theorems

**1. Proof of Theorem 1.3:** We prove the theorem step by step as follows.

Step 1. We prove that

$$f \neq 0, \quad g \neq 0. \tag{4.1}$$

In fact, suppose that  $f$  has a zero  $z_0$  with order  $m$ . Then  $z_0$  is a pole of  $g$  (with order  $p$ , say) by

$$f^n f' g^n g' = 1. \tag{4.2}$$

Thus,  $nm + m - 1 = np + p + 1$ , i.e.,  $(m - p)(n + 1) = 2$ . This impossible since  $n \geq 6$  and  $m, p$  are integers.

Step 2. We claim that

$$N_0(R, f) + N_0(R, g) \leq 2m_0\left(R, \frac{1}{fg}\right) + O(1). \tag{4.3}$$

By step 1 and (4.2) we deduce that

$$(n + 1)N_0(R, g) + \bar{N}_0(R, g) = N_0\left(R, \frac{1}{f'}\right). \tag{4.4}$$

From Lemma 2.2 we have

$$\begin{aligned} &N_0\left(R, \frac{f}{f'}\right) - N_0\left(R, \frac{f'}{f}\right) \\ &= N_0(R, f) + N_0\left(R, \frac{1}{f'}\right) - N_0(R, f') - N_0\left(R, \frac{1}{f}\right) \\ &= N_0\left(R, \frac{1}{f'}\right) - \bar{N}_0(R, f). \end{aligned}$$

By the first fundamental theorem on annuli, the left side is  $m_0(R, f'/f) - m_0(R, f/f') + O(1)$ , so we have

$$N_0\left(R, \frac{1}{f'}\right) = \bar{N}_0(R, f) + m_0\left(R, \frac{f}{f'}\right) - m_0\left(R, \frac{f'}{f}\right) + O(1). \tag{4.5}$$

Now we rewrite (4.2) in the form  $g'/g = (f'/f)(1/fg)^{n+1}$ . Then

$$m_0\left(R, \frac{f'}{f}\right) \geq m_0\left(R, \frac{g'}{g}\right) - (n + 1)m_0\left(R, \frac{1}{fg}\right) - O(1).$$

combining this, (4.4) and (4.5), we get

$$\begin{aligned} &(n + 1)N_0(R, g) + \bar{N}_0(R, g) \\ &\leq \bar{N}_0(R, f) + m_0\left(R, \frac{f'}{f}\right) - m_0\left(R, \frac{g'}{g}\right) \\ &\quad + (n + 1)m_0\left(R, \frac{1}{fg}\right) + O(1). \end{aligned}$$



By symmetry,

$$\begin{aligned} & (n+1)N_0(R, f) + \bar{N}_0(R, f) \\ & \leq \bar{N}_0(R, g) + m_0\left(R, \frac{g'}{g}\right) - m_0\left(R, \frac{f'}{f}\right) \\ & + (n+1)m_0\left(R, \frac{1}{fg}\right) + O(1). \end{aligned}$$

By adding above two inequalities we obtain (4.3).

Step 3. We prove that  $fg$  is constant. Let  $h = 1/fg$ . Then  $h$  is entire by Step 1, and (4.2) can be written as

$$\left(\frac{g'}{g} + \frac{1}{2} \frac{h'}{h}\right)^2 = \frac{1}{4} \left(\frac{h'}{h}\right)^2 - h^{n+1}.$$

Let

$$\alpha = \frac{g'}{g} + \frac{1}{2} \frac{h'}{h}$$

The above equation becomes

$$\alpha^2 = \frac{1}{4} \left(\frac{h'}{h}\right)^2 - h^{n+1}. \tag{4.6}$$

If  $\alpha \equiv 0$ , then  $h^{n+1} = \frac{1}{2} (h'/h)^2$ . Combining this with Step 1 we obtain  $T_0(R, h) = m_0(R, h) = S(R, h)$ ; thus  $h$  is a constant. Next we assume that  $\alpha \not\equiv 0$ . Differentiating (4.6) yields

$$2\alpha\alpha' = \frac{1}{2} \frac{h'}{h} \left(\frac{h'}{h}\right)' - (n+1)h'h^n.$$

From this and (4.6) it follows that

$$h^{n+1} \left( (n+1) \frac{h'}{h} - 2 \frac{\alpha'}{\alpha} \right) = \frac{1}{2} \frac{h'}{h} \left( \left(\frac{h'}{h}\right)' - \frac{\alpha'}{\alpha} \frac{h'}{h} \right) \tag{4.7}$$

If  $(n+1)\frac{h'}{h} - 2\frac{\alpha'}{\alpha} \equiv 0$ , then there exists a constant  $c$  such that  $\alpha^2 = ch^{n+1}$ . This and (4.6) give

$$(c+1)h^{n+1} = \frac{1}{4} \left(\frac{h'}{h}\right)^2.$$

If  $c = -1$ , then  $h' \equiv 0$ , and so  $h$  is constant. If  $c \neq -1$ , we have  $T_0(R, h) = S(R, h)$ , and  $h$  is constant. Next we suppose that

$$(n+1) \frac{h'}{h} - 2 \frac{\alpha'}{\alpha} \neq o.$$

Then, by (4.7) and the fact that  $h$  is entire,

$$\begin{aligned} (n+1)T_0(R, h) &= (n+1)m_0(R, h) \\ &\leq m_0\left(R, h^{n+1} \left( (n+1) \frac{h'}{h} - 2 \frac{\alpha'}{\alpha} \right)\right) \\ &\quad + m_0\left(R, \frac{1}{(n+1)h'/h - 2\alpha'/\alpha}\right) + O(1) \\ &\leq m_0\left(R, \frac{1}{2} \frac{h'}{h} \left( \left(\frac{h'}{h}\right)' - \frac{h'}{h} \right)\right) \\ &\quad + T_0\left(R, (n+1) \frac{h'}{h} - 2 \frac{\alpha'}{\alpha}\right) \\ &\leq \bar{N}_0(R, f) + \bar{N}_0(R, g) + \bar{N}_0\left(R, \frac{1}{\alpha}\right) \\ &\quad + S(R, h) + S(R, \alpha). \end{aligned}$$

Now by (4.6) and (4.3) we have

$$T_0(R, \alpha) \leq \frac{1}{2}(n+3)T_0(R, h) + S(R, h),$$

and

$$N_0(R, f) + N_0(R, g) \leq 2m_0(R, h) + O(1).$$

Combining the above three inequalities we obtain

$$\frac{1}{2}(n-5)T_0(R, h) \leq S(R, h).$$

Thus  $h$  must be a constant.

Step 4. We prove our conclusion. By Step 3,  $h$  is constant. Then, by (4.2),

$$\frac{g'}{g} = c, \quad c = ih^{(n+1)/2}.$$

Thus

$$g(z) = c_1 e^{cz}, \quad f = c_2 e^{-cz}$$

where  $c, c_1$  and  $c_2$  are constants and satisfy  $(c_1 c_2)^{n+1} c^2 = -1$  by (4.2). This completes the proof of the theorem.

**2. Proof of Theorem 1.4:** From

$$f^n f' g^n g' = 1$$

and the assumption that  $f$  and  $g$  are entire we immediately see that  $f$  and  $g$  have no zeros. Thus there exists two entire functions  $\alpha(z)$  and  $\beta(z)$  such that

$$f(z) = e^{\alpha(z)}, \quad g(z) = e^{\beta(z)}.$$

Inserting these in the above equality, we get

$$\alpha' \beta' e^{(n+1)(\alpha+\beta)} \equiv 1.$$

Thus  $\alpha'$  and  $\beta'$  have no zeros and we may set

$$\alpha' = e^{\delta(z)}, \quad \beta' = e^{\gamma(z)}.$$

Differentiating this gives

$$(n+1)(e^{\delta} + e^{\gamma}) + \delta' + \gamma' \equiv 0.$$

By Lemma 2.4,  $\delta = \gamma + (2m+1)\pi i$  for some integer  $m$ . Inserting this in the above equality we deduce that  $\delta' \equiv \gamma' \equiv 0$ , and so  $\delta$  and  $\gamma$  are constants, i.e.,  $\alpha'$  and  $\beta'$  are constants. From this we can easily obtain the desired result.

**3. Proof of Theorem 2.1:** Let  $F = f^{n+1}/a(n+1)$  and  $G = g^{n+1}/a(n+1)$ . Then condition that  $f^n f'$  and  $g^n g'$  share the value  $a$  CM implies that  $F'$  and  $G'$  share the value 1 CM. Obviously,

$$\begin{aligned} N_0(R, F') &= (n+1)N_0(R, f) + \bar{N}_0(R, f), \\ N_0(R, G') &= (n+1)N_0(R, g) + \bar{N}_0(R, g), \end{aligned} \tag{4.8}$$

$$\begin{aligned} \bar{N}_0(R, F') &= \bar{N}_0^{(2)}(R, F') = \bar{N}_0(R, f) \\ &\leq \frac{1}{n+2} T_0(R, F') + O(1), \end{aligned} \tag{4.9}$$





$$\begin{aligned} & \bar{N}_0\left(R, \frac{1}{F'}\right) + \bar{N}_0^{(2)}\left(R, \frac{1}{F'}\right) \\ &= 2\bar{N}_0\left(R, \frac{1}{f}\right) + \bar{N}_0\left(R, \frac{1}{f'}\right) + \bar{N}_0^{(2)}\left(R, \frac{1}{f'}\right) \\ &\leq 2\bar{N}_0\left(R, \frac{1}{f}\right) + \bar{N}_0\left(R, \frac{1}{f'}\right) \\ &\leq 2T_0(R, f) + \bar{N}_0\left(R, \frac{1}{f'}\right) + O(1). \end{aligned} \tag{4.10}$$

Since

$$\begin{aligned} & nm_0(R, f) \\ &= m_0\left(R, a\frac{F'}{f'}\right) \leq m_0(R, F') + m_0\left(R, \frac{1}{f'}\right) + O(1) \\ &= m_0(R, F') + T_0(R, f) - N_0\left(R, \frac{1}{f'}\right) + O(1) \\ &\leq m_0(R, F') + T_0(R, f) + \bar{N}_0(R, f) - N_0\left(R, \frac{1}{f'}\right) \\ &+ m_0\left(R, \frac{f'}{f}\right) + O(1) \\ &\leq m_0(R, F') + T_0(R, f) + \bar{N}_0(R, f) - N_0\left(R, \frac{1}{f'}\right) \\ &+ m_0\left(R, \frac{F'}{F}\right) + O(1), \end{aligned}$$

it follows from this, (4.8), and Theorem 4 that

$$(n-1)T_0(R, f) \leq T_0(R, F') - N_0(R, f) - N_0\left(R, \frac{1}{f'}\right) + S(R, F').$$

This and Theorem 4 imply that

$$\begin{aligned} & 2T_0(R, f) + N_0\left(R, \frac{1}{f'}\right) \\ &= \frac{2}{n-1} \left\{ (n-1)T_0(R, f) + N_0\left(R, \frac{1}{f'}\right) \right\} + \frac{n-3}{n-1} N_0\left(R, \frac{1}{f'}\right) \\ &\leq \frac{2}{n-1} \{ T_0(R, F') + N_0(R, f) \} + \frac{n-3}{n-1} \{ T_0(R, f) + \bar{N}_0(R, f) \} \\ &+ m_0\left(R, \frac{f'}{f}\right) + O(1) \\ &\leq \left( \frac{2}{n-1} + \frac{n-3}{(n-1)^2} \right) T_0(R, F') + \left( \frac{n-5}{n-1} + \frac{n-3}{(n-1)^2} \right) \\ &N_0(R, f) + S(R, F'). \end{aligned}$$

Combining this (4.9), and (4.10), we obtain

$$\bar{N}_0\left(R, \frac{1}{F'}\right) + \bar{N}_0^{(2)}\left(R, \frac{1}{F'}\right) \leq \frac{4n^2 - 6n - 2}{(n-1)^2(n+2)} T_0(R, F') + S(R, F'). \tag{4.11}$$

We similarly derive for  $G'$  that

$$\bar{N}_0(R, G') = \bar{N}_0^{(2)}(R, G') = \bar{N}_0(R, g) \leq \frac{1}{n+2} T_0(R, G') + S(R, G'), \tag{4.12}$$

$$\bar{N}_0\left(R, \frac{1}{G'}\right) + \bar{N}_0^{(2)}\left(R, \frac{1}{G'}\right) \leq \frac{4n^2 - 6n - 2}{(n-1)^2(n+2)} T_0(R, G') + S(R, G'). \tag{4.13}$$

Without loss of generality, we suppose that there exists a set  $I \subset [0, \infty)$  such that  $T_0(R, G') \leq T_0(R, F')$ . Next we apply Lemma 2.5 to  $F'$  and  $G'$ , it follows that there are three cases to be considered.

**Case (i).**

$$\begin{aligned} T_0(R, F') &\leq \bar{N}_0(R, F') + \bar{N}_0^{(2)}(R, F') + \bar{N}_0(R, G') \\ &+ \bar{N}_0^{(2)}(R, G') + \bar{N}_0\left(R, \frac{1}{F'}\right) \\ &+ \bar{N}_0^{(2)}\left(R, \frac{1}{F'}\right) + \bar{N}_0\left(R, \frac{1}{G'}\right) \\ &+ \bar{N}_0^{(2)}\left(R, \frac{1}{G'}\right) + S(R, F') + S(R, G'). \end{aligned}$$

Setting (4.9), (4.11), (4.12), and (4.13) into the above inequality and keeping in mind that  $T_0(R, G') \leq T_0(R, F')$ , we get

$$\frac{n^3 - 12n^2 + 17n + 2}{(n+1)^2(n+2)} T_0(R, F') \leq S(R, F'). \tag{4.14}$$

We denote by  $p(n)$  the numerator of the coefficient on the left hand side above. Then  $p'(n) = 3n^2 - 24n + 17 > 0$  for  $n \leq 8$ . Note that  $p(11) = 68$ ; thus  $p(n)$  is positive for  $n \leq 11$ . It follows from (4.14) that  $F'$  must be rational function. But then, by the above derivatives,  $S(R, F') = O(1)$ . Using (4.14) again,  $F'$  must be a constant, which is impossible.

**Case (ii).**  $F' = G'$ . Then we deduce that  $f^{n+1} = g^{n+1} + c$  ( $c \in \mathbb{C}$ ). Let  $f = hg$ , and we have

$$(h^{n+1} - 1)g^{n+1} = c. \tag{4.15}$$

If  $h^{n+1} \equiv 1$ , then  $h$  is  $(n+1)^{th}$  unit root and we obtain the desired result. If  $h^{n+1} \not\equiv 1$ , then by (4.15),

$$g^{n+1} = \frac{c}{h^{n+1} - 1}.$$

Thus  $h$  is not constant. We write this in the form

$$g^{n+1} = \frac{c}{(h-u_1)\dots(h-u_{n+1})},$$

where  $u_1, \dots, u_{n+1}$  are different  $(n+1)^{th}$  roots of unity. Thus  $h$  has at least  $n+1$  ( $\geq 14$ ) multiple values. However, from Nevanlinna's second fundamental theorem on annuli, we know that  $h$  has at most 4 multiple values, a contradiction.

**Case (iii).**  $F'G' \equiv 1$ , i.e.,  $a^{-2}f^n f' g^n g' \equiv 1$ . Let  $\hat{f} = a^{-1/(n+1)}f$  and  $\hat{g} = a^{-1/(n+1)}g$ . Then  $\hat{f}^n \hat{f}' \hat{g}^n \hat{g}' = 1$ . The conclusion follows from Theorem 2.



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