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# The outer-independent edge-vertex domination in trees

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Abstract. Let G = (V, E) be a finite simple graph. A vertex  $v \in V$  is edge-vertex dominated by an edge  $e \in E$  if e is incident with v or e is incident with a vertex adjacent to v. An edge-vertex dominating set of G is a subset  $D \subseteq E$  such that every vertex of G is edge-vertex dominated by an edge of D. A subset  $D \subseteq E$  is called an *outer-independent edge-vertex dominating set* of G if D is an edge-vertex dominating set of G and the set  $V(G) \setminus I(D)$  is independent, where I(D) is the set of vertices incident to an edge of D. The *outer-independent edge-vertex domination number* of G, denoted by  $\gamma_{ev}^{oi}(G)$ , is the smallest cardinality of an outer-connected edge-vertex dominating set of G. In this paper, we study outer-independent edge-vertex domination numbers. In particular, we prove that  $\frac{n-l+1}{3} \leq \gamma_{ev}^{oi}(T) \leq \frac{2n-s-2}{3}$  for every tree T of order  $n \geq 3$  with l leaves and s support vertices. We also characterize the trees attaining the bounds.

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## 1. Introduction and Terminology

Let G = (V, E) be a finite simple graph with vertex set V(G) and edge set E(G). The cardinality of V is called the *order* of G. The set  $N(v) = \{u \in V(G) \mid uv \in E(G)\}$  is called the *open neighborhood* of  $v \in V(G)$ . The *degree* of  $v \in V(G)$  is the cardinality of N(v). We denote it by  $deg_G(v)$ . The *distance* between two distinct vertices in G is the length of a shortest path between them. The *diameter* of G is denoted by diam(G). A *diametral path* of G is a path with the length which equals diam(G).

Let T be a tree. A vertex v of T is called *leaf* if  $deg_T(v) = 1$ . A *support vertex* is a vertex adjacent to a leaf. A *weak support vertex* is a support vertex that is adjacent to exactly one leaf. A *rooted tree* T differentiates one vertex r called the root. For a vertex  $v(\neq r) \in V(T)$ , the parent of v is the neighbor of v placed on the unique (r, v)-path, while a child of v is any other neighbor of v. We denote the set of children of v by C(v). A descendant of v is a vertex  $w \neq v$  such that v is contained in the unique (r, w)-path. In particular, every child of v is also a descendant of v. We denote the set of descendants of v by D(v). The subtree induced by  $D(v) \cup \{v\}$ is denoted by  $T_v$ . The *star* is a complete bipartite graph  $K_{1,t}$ . The *double star* is the graph obtained by joining the centers of two stars  $K_{1,p}$  and  $K_{1,q}$ . Subdividing an edge e is to delete e, add a new vertex x, and join x to the ends of e. A *healthy spider*  $S_{t,t}$  is the graph obtained from a star  $K_{1,t}$  by subdividing each edges of  $K_{1,t}$ . For a subset  $S \subseteq V(G)$ , G - S denotes the subgraph of G induced by  $V(G) \setminus S$ .

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A vertex  $v \in V(G)$  is edge-vertex dominated by an edge  $e \in E(G)$  if e is incident with v or e is incident with a vertex adjacent to v (See [2]). An edge-vertex dominating set of G is a subset  $D \subseteq E(G)$  such that every vertex of G is edge-vertex dominated by an edge of D (See [2]). A subset  $D \subseteq E(G)$  is called an *outerindependent edge-vertex dominating set* (OIEVDS) of G if D is an edge-vertex dominating set of G and the set  $V(G) \setminus I(D)$  is independent, where I(D) is the set of vertices incident to an edge of D. The *outer-independent edge-vertex domination number* of G, denoted by  $\gamma_{ev}^{oi}(G)$ , is the smallest cardinality of an outer-connected edgevertex dominating set of G. A  $\gamma_{ev}^{oi}(G)$ -set is an OIEVDS of G with the cardinality  $\gamma_{ev}^{oi}(G)$ .

Edge-vertex domination in graphs was introduced and studied in [2, 4]. Recently, variations of outer-independent and edge-vertex domination were given in [1, 5, 6]. In this paper, we study outer-independent edge-vertex domination numbers. We prove that  $\frac{n-l+1}{3} \leq \gamma_{ev}^{oi}(T) \leq \frac{2n-s-2}{3}$  for every tree T of order  $n \geq 3$  with l leaves and s support vertices. We also characterize the trees attaining the bounds.

Finally, we give a lemma whose proof follows from straightforward observation.

Lemma 1.1. The following holds.

- 1. Every support vertex of T is incident to an edge of every  $\gamma_{ev}^{oi}(T)$ -set.
- 2. For every tree T with diameter at least three, there exists a  $\gamma_{ev}^{oi}(T)$ -set whose elements are not incident to any leaf.

## 2. Main Result 1

In this section, we prove that if T is a tree of order  $n \ge 3$  with l leaves, then  $\frac{n-l+1}{3} \le \gamma_{ev}^{oi}(T)$ . We also give a characterization of all trees with  $\frac{n-l+1}{3} = \gamma_{ev}^{oi}(T)$ .

First of all, we introduce a family  $\mathcal{T}$  of trees that be obtained from  $T_1, \ldots, T_m$   $(m \ge 1)$  of trees such that  $T_1$  is a path  $P_4$  with two support vertices u, v, and let  $S(T_1) = \{uv\}$ . If  $m \ge 2$ , then  $T_{i+1}$  be obtained recursively from  $T_i$  by one of the following two operations for  $1 \le i \le m - 1$ .

**Operation**  $\mathcal{O}_1$  :

(i) Attach a vertex by joining it to a vertex incident to edges of  $S(T_i)$ .

(ii) Set  $S(T_{i+1}) = S(T_i)$ .

**Operation**  $\mathcal{O}_2$  :

(i) Attach a path P<sub>3</sub> := uvw by joining u to a leaf of T<sub>i</sub>.
(ii) Set S(T<sub>i+1</sub>) = S(T<sub>i</sub>) ∪ {uv}.

**Proposition 2.1.** If a tree T belongs to  $\mathcal{T}$ , then  $\gamma_{ev}^{oi}(T) = \frac{n-l+1}{3}$ .

**Proof.** We use the induction on the number of operations performed to construct the tree T. If  $T = T_1 \cong P_4$ , then  $\gamma_{ev}^{oi}(T) = 1$ . Let m be a positive integer. Suppose that every tree T' constructed by m-1 operations satisfies  $\gamma_{ev}^{oi}(T') = \frac{n'-l'+1}{3}$ . Let  $T = T_{m+1}$  be a tree constructed by m operations.

First, we assume that T is obtained from T' by Operation  $\mathcal{O}_1$ . Then n = n' + 1 and l = l' + 1. It is easy to see that S(T') = S(T) is an OIEVDS of T. Thus,  $\gamma_{ev}^{oi}(T) = \gamma_{ev}^{oi}(T') = \frac{n'-l'+1}{3} = \frac{n-1-(l-1)+1}{3} = \frac{n-l+1}{3}$ .

Second, we assume that T is obtained from T' by Operation  $\mathcal{O}_2$ . Then n = n' + 3 and l = l'. It is easy to see that  $S(T) = S(T') \cup \{uv\}$  is an OIEVDS of T and  $\gamma_{ev}^{oi}(T) = \gamma_{ev}^{oi}(T') + 1$  Thus,  $\gamma_{ev}^{oi}(T) = \gamma_{ev}^{oi}(T') + 1 = \frac{n'-l'+1}{3} + 1 = \frac{n-l+1}{3}$ .

**Theorem 2.2.** Let T be a tree of order  $n \ge 3$  with l leaves. Then  $\frac{n-l+1}{3} \le \gamma_{ev}^{oi}(T)$  with equality if and only if  $T \in \mathcal{T}$ .

**Proof.** If  $T = P_3$ , then clearly  $\frac{n-l+1}{3} = \frac{2}{3} < \gamma_{ev}^{oi}(T) = 1$ . Assume that the order of T is at least 4. If T is a star, then  $\frac{n-l+1}{3} = \frac{2}{3} < \gamma_{ev}^{oi}(T) = 1$ . If T is a double star, then  $\frac{n-l+1}{3} = 1 = \gamma_{ev}^{oi}(T)$ . By using Operation  $\mathcal{O}_1$  repeatably, we have  $T \in \mathcal{T}$ .



Now assume that  $diam(T) \ge 4$ . We use the induction on the order of T. Suppose that every tree T' of order n'(< n) satisfies  $\frac{n'-l'+1}{3} \leq \gamma_{ev}^{oi}(T')$  with equality only if  $T' \in \mathcal{T}$ .

Among all of diametrical paths in T, we choose  $x_0x_1 \dots x_d$  so that it maximizes  $deg_T(x_{d-1})$ . Root T at  $x_0$ . We divide our consideration into four cases.

**Case 1.**  $deg_T(x_{d-1}) \ge 3$ .

Let  $u \neq x_d$  be a leaf adjacent to  $x_{d-1}$ . Let  $T' = T - \{u\}$ . Then n = n' + 1 and l = l' + 1. It is easy to see that any  $\gamma_{ev}^{oi}(T')$ -set D is an OIEVDS of T. Applying the induction hypothesis to T', we have  $\frac{n'-l'+1}{3} \leq \gamma_{ev}^{oi}(T')$ . Thus,  $\frac{n-l+1}{3} = \frac{n'-l'+1}{3} \leq \gamma_{ev}^{oi}(T') \leq \gamma_{ev}^{oi}(T)$ . If  $\frac{n-l+1}{3} = \gamma_{ev}^{oi}(T)$ , then  $\frac{n'-l'+1}{3} \leq \gamma_{ev}^{oi}(T')$  and  $T' \in \mathcal{T}$ . By Operation  $\mathcal{O}_1$ , we have  $T \in \mathcal{T}$ .

**Case 2.**  $deg_T(x_{d-1}) = 2$  and  $deg_T(x_{d-2}) \ge 3$ .

Assume that there exists a support vertex  $v \in C(x_{d-2}) \setminus \{x_{d-1}\}$ . Let  $T' = T - T_v$ . Then n = n' + 2

Assume that there exists a support vertex  $v \in C(x_{d-2}) \setminus \{x_{d-1}\}$ . Let  $T = T - I_v$ . Then n = n' + 2and l = l' + 1. It is easy to see that  $\gamma_{ev}^{oi}(T') \leq \gamma_{ev}^{oi}(T) - 1$ . Applying the induction hypothesis to T', we have  $\frac{n'-l'+1}{3} \leq \gamma_{ev}^{oi}(T')$ . Thus,  $\frac{n-2-(l-1)+1}{3} = \frac{n'-l'+1}{3} \leq \gamma_{ev}^{oi}(T') \leq \gamma_{ev}^{oi}(T) - 1$  and so  $\frac{n-l+1}{3} < \gamma_{ev}^{oi}(T)$ . Assume that there exists a leaf  $u \in C(x_{d-2})$ . Let  $T' = T - \{u\}$ . Then n = n' + 1 and l = l' + 1. It is easy to see that  $\gamma_{ev}^{oi}(T') = \gamma_{ev}^{oi}(T)$ . Applying the induction hypothesis to T', we have  $\frac{n'-l'+1}{3} \leq \gamma_{ev}^{oi}(T')$ . Thus,  $\frac{n-1-(l-1)+1}{3} = \frac{n'-l'+1}{3} \leq \gamma_{ev}^{oi}(T') \leq \gamma_{ev}^{oi}(T)$  and so  $\frac{n-l+1}{3} \leq \gamma_{ev}^{oi}(T)$ . If  $\frac{n-l+1}{3} = \gamma_{ev}^{oi}(T)$ , then  $\frac{n'-l'+1}{3} \leq \gamma_{ev}^{oi}(T')$  and  $T' \in \mathcal{T}$ . By Operation  $\mathcal{O}_1$ , we have  $T \in \mathcal{T}$ .

**Case 3.**  $deg_T(x_{d-1}) = 2$ ,  $deg_T(x_{d-2}) = 2$  and  $deg_T(x_{d-3}) \ge 3$ .

Let  $T' = T - T_{x_{d-2}}$ . Then n = n'+3 and l = l'+1. It is easy to see that  $\gamma_{ev}^{oi}(T') \le \gamma_{ev}^{oi}(T) - 1$ . Applying the induction hypothesis to T', we have  $\frac{n'-l'+1}{3} \le \gamma_{ev}^{oi}(T')$ . Thus,  $\frac{n-3-(l-1)+1}{3} = \frac{n'-l'+1}{3} \le \gamma_{ev}^{oi}(T') \le \gamma_{ev}^{oi}(T) - 1$  and so  $\frac{n-l+1}{3} < \gamma_{ev}^{oi}(T)$ .

**Case 4.**  $deg_T(x_{d-1}) = 2$ ,  $deg_T(x_{d-2}) = 2$  and  $deg_T(x_{d-3}) = 2$ .

Let  $T' = T - T_{x_{d-2}}$ . Then n = n' + 3 and l = l'. It is easy to see that  $\gamma_{ev}^{oi}(T') \leq \gamma_{ev}^{oi}(T) - 1$ . Applying the induction hypothesis to T', we have  $\frac{n'-l'+1}{3} \leq \gamma_{ev}^{oi}(T')$ . Thus,  $\frac{n-3-l+1}{3} = \frac{n'-l'+1}{3} \leq \gamma_{ev}^{oi}(T') \leq \gamma_{ev}^{oi}(T) - 1$ and so  $\frac{n-l+1}{3} \leq \gamma_{ev}^{oi}(T)$ . If  $\frac{n-l+1}{3} = \gamma_{ev}^{oi}(T)$ , then  $\frac{n'-l'+1}{3} \leq \gamma_{ev}^{oi}(T')$  and  $T' \in \mathcal{T}$ . By Operation  $\mathcal{O}_2$ , we have  $T \in \mathcal{T}$ .

### 3. Main Result 2

In this section, we prove that if T is a tree of order  $n \ge 3$  with s support vertices, then  $\gamma_{ev}^{oi}(T) \le \frac{2n-s-2}{3}$ . We also give a characterization of all trees with  $\gamma_{ev}^{oi}(T) = \frac{2n-s-2}{3}$ .

**Theorem 3.1.** Let T be a tree of order  $n \ge 3$  with s support vertices. Then  $\gamma_{ev}^{oi}(T) \le \frac{2n-s-2}{3}$  with equality if and only if T is a healthy spider.

**Proof.** If  $T = P_3$ , then clearly  $\gamma_{ev}^{oi}(T) = 1$  and T is a healthy spider. Assume that the order of T is at least 4. If T is a star, then  $\gamma_{ev}^{oi}(T) = 1 < \frac{2n-s-2}{3}$ . If T is a double star, then  $\gamma_{ev}^{oi}(T) = 1 < \frac{2n-s-2}{3} = \frac{2n-4}{3}$ .

Now assume that  $diam(T) \ge 4$ . We use the induction on the order of T. Suppose that every tree T' of order n'(< n) satisfies  $\gamma_{ev}^{oi}(T') \le \frac{2n'-s'-2}{3}$  with equality only if T' is a healthy spider. Among all of diametrical paths in T, we choose  $x_0x_1 \dots x_d$  so that it maximizes  $deg_T(x_{d-1})$ . Root T at  $x_0$ . We divide our consideration into three cases.

**Case 1.**  $deg_T(x_{d-1}) \ge 3$ .

Let  $u \neq x_d$  be a leaf adjacent to  $x_{d-1}$ . Let  $T' = T - \{u\}$ . Then n = n' + 1 and s = s'. It is easy to see that any  $\gamma_{ev}^{oi}(T')$ -set is an OIEVDS of T. So,  $\gamma_{ev}^{oi}(T) \leq \gamma_{ev}^{oi}(T')$ . Applying the induction hypothesis to T', we have  $\gamma_{ev}^{oi}(T') \leq \frac{2n'-s'-2}{3}$ . Thus,  $\gamma_{ev}^{oi}(T) \leq \gamma_{ev}^{oi}(T') \leq \frac{2n-s-2}{3} < \frac{2n-s-2}{3}$ .

**Case 2.**  $deg_T(x_{d-1}) = 2$  and  $deg_T(x_{d-2}) = 2$ .



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Let  $T' = T - \{x_{d-2}, x_{d-1}, x_d\}$ . It is easy to see that  $\gamma_{ev}^{oi}(T) = \gamma_{ev}^{oi}(T') + 1$ ,  $s - 1 \le s' \le s$  and n = n' + 3. Applying the induction hypothesis to T', we have  $\gamma_{ev}^{oi}(T') \le \frac{2n'-s'-2}{3}$ . Thus,  $\gamma_{ev}^{oi}(T) - 1 = \gamma_{ev}^{oi}(T') \le \frac{2n'-s'-2}{3} \le \frac{2n-6-s+1-2}{3}$  and so  $\gamma_{ev}^{oi}(T) < \frac{2n-s-2}{3}$ .

**Case 3.**  $deg_T(x_{d-1}) = 2$  and  $deg_T(x_{d-2}) \ge 3$ .

Assume that there exists a leaf  $c \in C(x_{d-2})$ . Let  $T' = T - \{v\}$ . By the argument as in Case 1, we have  $\gamma_{ev}^{oi}(T) < \frac{2n-s-2}{3}$ .

Assume that there exists a support vertex  $c \in C(x_{d-2}) \setminus \{x_{d-1}\}$ . By the assumption, c is weak and has a child w. Let  $T' = T - T_c$ . It is easy to see that  $\gamma_{ev}^{oi}(T) = \gamma_{ev}^{oi}(T') + 1$ , s = s' + 1 and n = n' + 2. Applying the induction hypothesis to T', we have  $\gamma_{ev}^{oi}(T') \le \frac{2n'-s'-2}{3}$ . Thus,  $\gamma_{ev}^{oi}(T) - 1 = \gamma_{ev}^{oi}(T') \le \frac{2n'-s'-2}{3} = \frac{2n-4-s+1-2}{3}$  and so  $\gamma_{ev}^{oi}(T) \le \frac{2n-s-2}{3}$ .

Now we assume that  $T_{x_{d-2}}$  is a healthy spider  $S_{t,t}$ . Let  $T' = T - V(T_{x_{d-2}})$ . It is easy to see that  $\gamma_{ev}^{oi}(T) \leq \gamma_{ev}^{oi}(T') + t$ ,  $s - t \leq s'$  and n = n' + 2t + 1. If  $|V(T')| \geq 3$ , then by the induction hypothesis on T', we have  $\gamma_{ev}^{oi}(T') \leq \frac{2n'-s'-2}{3}$ . Thus,  $\gamma_{ev}^{oi}(T) - t \leq \gamma_{ev}^{oi}(T') \leq \frac{2n'-s'-2}{3} = \frac{2n-4t-2-s+t-2}{3}$  and so  $\gamma_{ev}^{oi}(T) < \frac{2n-s-2}{3}$ . If |V(T')| = 2, then clearly  $\gamma_{ev}^{oi}(T) = \frac{2n-s-2}{3}$  and T is a healthy spider.

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